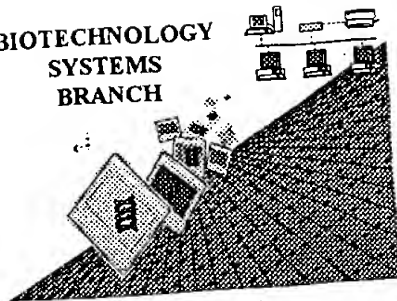


0905

BIOTECHNOLOGY  
SYSTEMS  
BRANCH



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/932,367

Source: O/PE

Date Processed by STIC: 9/5/2021

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT TO THE APPLICANT BY EITHER:  
APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A  
NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER  
VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND  
TRADEMARK OFFICE WEBSITE. SEE BELOW:

### Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

# Raw Sequence Listing Error Summary

## ERROR DETECTED

## SUGGESTED CORRECTION

SERIAL NUMBER: 09/932,367

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1        Wrapped Nucleics  
    Wrapped Aminos  
The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2        Invalid Line Length  
The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3        Misaligned Amino  
    Numbering  
The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4        Non-ASCII  
The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5        Variable Length  
Sequence(s)        contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6        PatentIn 2.0  
    "bug"  
A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)       . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7        Skipped Sequences  
    (OLD RULES)  
Sequence(s)        missing. If intentional, please insert the following lines for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped  
  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8        Skipped Sequences  
    (NEW RULES)  
Sequence(s)        missing. If intentional, please insert the following lines for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 9        Use of n's or Xaa's  
    (NEW RULES)  
Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.
- 10        Invalid <213>  
    Response  
Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11        Use of <220>  
Sequence(s)        missing the <220> "Feature" and associated numeric identifiers and responses.  
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12        PatentIn 2.0  
    "bug"  
Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13        Misuse of n  
n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

OICE

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/932,367

DATE: 09/05/2001

TIME: 17:05:02

Input Set : A:\053884-5003-01.txt

Output Set: N:\CRF3\09052001\I932367.raw

Does Not Comply  
Corrected Diskette Needed

3 <110> APPLICANT: RHODES, Simon J.  
4 BRIDWELL, Jeanne L.  
5 MEIER, Bradley C.  
6 PARKER, Gretchen E.  
7 PRICE, Jeffrey R.  
8 SHOWALTER, Aaron D.  
9 SLOOP, Kyle W.  
11 <120> TITLE OF INVENTION: GENERATION OF DIAGNOSTIC TOOLS TO ASSAY THE HUMAN  
12 LHX3/P-LIM/LIM-3 FACTOR  
14 <130> FILE REFERENCE: 053884-5003  
16 <140> CURRENT APPLICATION NUMBER: US/09/932,367  
17 <141> CURRENT FILING DATE: 2001-08-17  
19 <150> PRIOR APPLICATION NUMBER: PCT/US00/04424  
20 <151> PRIOR FILING DATE: 2000-02-22  
22 <150> PRIOR APPLICATION NUMBER: US 60/121,110  
23 <151> PRIOR FILING DATE: 1999-02-22  
25 <160> NUMBER OF SEQ ID NOS: 113  
27 <170> SOFTWARE: PatentIn Ver. 2.1

## ERRORED SEQUENCES

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1242 <211> LENGTH: 8867  
1243 <212> TYPE: DNA  
1244 <213> ORGANISM: Homo sapiens  
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## RAW SEQUENCE LISTING

DATE: 09/05/2001

PATENT APPLICATION: US/09/932,367

TIME: 17:05:02

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Output Set: N:\CRF3\09052001\I932367.raw

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? see  
item 9  
on Enov  
summary  
sheet

## RAW SEQUENCE LISTING

DATE: 09/05/2001

PATENT APPLICATION: US/09/932,367

TIME: 17:05:02

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## RAW SEQUENCE LISTING

DATE: 09/05/2001

PATENT APPLICATION: US/09/932,367

TIME: 17:05:02

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1388 tctccaagct caattctcta agccctcag ggtctcctcc tcacccacc ccaggccctt 8520
1389 ggtgtccagg ctgcacccac agatgtctgt tgccaaacag cctgccctcc ctgccggagc 8580
1390 cggctctgcc agccccagat tgggaagtct ccccgctgga gaagggtggg gctcctctga 8640
1391 gcctgcctg cctcctccat cagatccttt gggagaagt ttctgggaga tgcccgagc 8700
1392 tgtgcgtgcc ccagacacaa aggctggcct gtgtgtaagt caaagtcact ccgcaaacc 8760
1393 tgaatctcga gctacctatt ggttctgtga atgttctgtg tcttttattt attctcgggt 8820
E--> 1394 gatcagctct ttccaagact tcaaaaaant gtcagttacc tcgtgcc 8867

```

*item 9*

## VERIFICATION SUMMARY

DATE: 09/05/2001

PATENT APPLICATION: US/09/932,367

TIME: 17:05:04

Input Set : A:\053884-5003-01.txt

Output Set: N:\CRF3\09052001\I932367.raw

L:16 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:472 M:283 W: Missing Blank Line separator, <400> field identifier  
L:473 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (7) SEQUENCE:  
L:477 M:283 W: Missing Blank Line separator, <400> field identifier  
L:478 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (8) SEQUENCE:  
L:980 M:283 W: Missing Blank Line separator, <400> field identifier  
L:981 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (17) SEQUENCE:  
L:985 M:283 W: Missing Blank Line separator, <400> field identifier  
L:986 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (18) SEQUENCE:  
L:1309 M:340 E: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:22  
M:340 Repeated in SeqNo=22  
L:1592 M:283 W: Missing Blank Line separator, <400> field identifier  
L:1593 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (30) SEQUENCE:  
L:1610 M:283 W: Missing Blank Line separator, <400> field identifier  
L:1611 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (32) SEQUENCE: